



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 8954

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/086,769	03/01/2002 RULE	705	3693	58985(49357)		
APPLICANTS Joseph M. Kochansky, New York, NY; ** CONTINUING DATA ***** NONE ** FOREIGN APPLICATIONS ***** NONE ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 04/03/2002						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/JAGDISH PATEL/</u> Examiner's signature		<input type="checkbox"/> Met after Allowance Initials	STATE OR COUNTRY NY	SHEETS DRAWINGS 4	TOTAL CLAIMS 36- 26	INDEPENDENT CLAIMS 5- 4
ADDRESS EDWARDS ANGELL PALMER & DODGE LLP P.O. BOX 55874 BOSTON, MA 02205 UNITED STATES						
TITLE Investment portfolio compliance system						
FILING FEE RECEIVED 1196	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees			
			<input type="checkbox"/> 1.16 Fees (Filing)			
			<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)			
			<input type="checkbox"/> 1.18 Fees (Issue)			
			<input type="checkbox"/> Other _____			
				<input type="checkbox"/> Credit		